



PATENT
ATTORNEY DOCKET NUMBER: 50125/026001

Certificate of Mailing: Date of Deposit: November 19, 2001

I hereby certify under 37 C.F.R. § 1.8(a) that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Colleen Coyne

Printed name of person mailing correspondence

Colleen Coyne

Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hans Joachim Gross et al.

Art Unit:

Serial No.: 09/890,689

Examiner:

Filed: August 2, 2001

Customer No.: 21559

Title: cDNA SEQUENCE OF AN INTERACTOR FANCIPI OF THE
FANCONI ANAEMIA PROTEIN OF COMPLEMENTATION GROUP
A

Assistant Commissioner for Patents
Washington, D.C. 20231

REPLY TO NOTICE TO COMPLY WITH REQUIREMENTS
FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE AND/OR AMINO ACID SEQUENCES

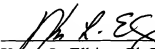
In reply to the Notice To Comply mailed September 17, 2001, Applicant submits
the following:

- An initial paper copy of the sequence listing and an amendment directing its entry into the specification.
- An initial copy of the sequence listing in computer readable form.
- A statement that the contents of the paper and computer readable copies are the same and contain no new matter.

If there are any charges, please apply them to Deposit Account No. 03-2095.

Respectfully submitted,

Date: 19 November 2001



Karen L. Elbing, Ph.D.
Reg. No. 35,238

Clark & Elbing LLP
176 Federal Street
Boston, MA 02110
Telephone: 617-428-0200
Facsimile: 617-428-7045

\\Clark-w2k1\documents\50125\50125.026001 Reply to Notice to Comply.wpd





101 PCT/PTO 03 JAN 2002 #3

JC07 Rec'd PCT/PTO 03 JAN 2002 OK SEQ

ATTORNEY DOCKET NUMBER: 00125026001

09/890689

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REPLY TO NOTICE TO FILE MISSING PARTS

In reply to the Notice to File Missing Parts of Application mailed September 17, 2001 (a copy of which is enclosed), Applicant as a small entity submits herewith the following:

■ A Combined Declaration and Power of Attorney in compliance with 37 C.F.R. § 1.63.

■ Payment of the surcharge of \$65.00 for late filing of the basic filing fee/declaration.

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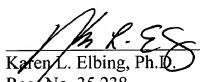
65.00 DP

If there are any charges, or any credits, please apply them to Deposit Account No.

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Respectfully submitted,

Date: 19 November 2001


Karen L. Elbing, Ph.D.
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21559

PATENT TRADEMARK OFFICE



09/089689

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20230
www.uspto.gov

U.S. APPLICATION NO. 09/089689

GROSS INVENTOR APPLICANT

ATTY. DOCKET NO.

09/089689

RECEIVED

INTERNATIONAL APPLICATION NO. / 005/06

30125-026001

SEP 19 2001

I.A. FILING DATE

PRIORITY DATE

05/99

CLARK & ELBING

09/17/01

DATE MAILED

11/17/01
3/17/02

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant of the IB to the United States Patent and Trademark Office as

- ☐ U.S. Basic National Fee.
☒ Copy of the international application.
☐ Oath or Declaration of Inventor(s).
☐ Copy of Article 19 amendments.
☐ Priority Document.
☒ The International Preliminary Examination Report in English and its Annexes, if any.
☐ Translation of Annexes to the International Preliminary Examination Report into English.

an Elected Office (37 CFR 1.495):

Translation of Small Entity Status.

Translation of the international application into English.

Translation of Article 19 amendments into English.

Other: 306, 101

ELECTION DUE DATE

11-17-01

ESP 3-17-02

INITIALS J. M.

2. ☒ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee.
☐ Copy of the international application.

3. The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ A. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
☐ B. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
☐ C. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the international application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
☒ D. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.5. ☒ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes MUST be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.

7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: ☒ PCT/DO/EO/917☐ PTO-875☐ Notice of Defective Translation☒ PCT/DO/EO/920

Kays Baltimore

National Stage Processing

Telephone: (703) 305-3655

FORM PCT/DO/EO/905 (March 2001)